



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

STEPHEN F. GASS and ANDREW L. JOHNSTON

Date: November 13, 2001

Serial No. : 09/929,244

Filed : August 13, 2001

Group Art Unit 3724

For : BRAKE POSITIONING SYSTEM

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

INFORMATION DISCLOSURE STATEMENT

Submitted herewith is an Information Disclosure Statement as required under 37 C.F.R. §§ 1.56, 1.97 and 1.98. For each foreign patent document that does not contain at least a partial translation, a brief statement of relevance follows:

Austrian-German Patent No. 76186 – This patent appears to describe a light sensor-based safety system for a band saw, as illustrated in the figures.

Swiss Patent No. 297525 – This patent appears to describe a press with a light curtain to detect misplacement of hands, as illustrated in the figures.

Respectfully submitted,

SD3 LLC

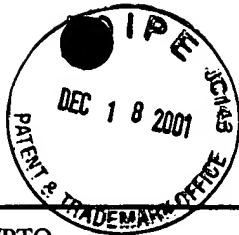
Stephen F. Gass, Esq.
Registration No. 38,462
Customer No. 27630
22409 S.W. Newland Road
Wilsonville, Oregon 97070
Telephone: (503) 638-6201
Facsimile: (503) 638-8601

RECEIVED
DEC 21 2001
JC 3700 MAIL ROOM

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on November 13, 2001.

Renee L. Knight



Substitute for form 1449A-B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 1 of 5

Complete if Known

Application No.	09/929,244
Filing Date	Aug. 13, 2001
First Named Inventor	Stephen F. Gass
Group Art Unit	3724
Examiner Name	
Attorney Docket No.	SDT 308

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee	Date of Publication	Relevant Pages, Columns, Lines
		Number	Kind Code			
		941,726		Pfalzgraf	11/30/1909	
		1,551,900		Morrow	9/1/1925	
		1,896,924		Ulrich	2/7/1933	
		1,902,270		Tate	3/21/1933	
		1,910,651		Tautz	5/23/1933	
		1,938,548		Tautz	12/5/1933	
		1,938,549		Tautz	12/5/1933	
		1,963,688		Tautz	6/19/1934	
		2,007,887		Tautz	7/9/1935	
		2,020,222		Tautz	11/5/1935	
		2,038,810		Tautz	4/28/1936	
		2,106,288		Tautz	1/25/1938	
		2,978,084		Vilkaitis	4/4/1961	
		3,011,610		Stiebel et al.	12/5/1961	
		3,047,116		Stiebel et al.	7/31/1962	
		3,454,286		Anderson et al.	7/8/1969	
		3,695,116		Baur	10/3/1972	
		3,785,230		Lokey	1/15/1974	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication	Relevant Pages, Columns, Lines	T ⁶
		Office	Number	Kind Code				
		DE	76186		Simunek	8/24/1921		
		UK	598204		Societe Anonyme Andre Citroen	2/12/1948		
		CH	297525		Gardette	6/1/1954		

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Gordon Engineering Corp., Product Catalog, October 1997, pgs. cover, 1, 3 and back, Brookfield, Connecticut, US.	
Examiner Signature		Date Considered	

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

✓ Translation or partial translation included.



Substitute for form 1449A-B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 2 of 5

Complete if Known

Application No.	09/929,244
Filing Date	Aug. 13, 2001
First Named Inventor	Stephen F. Gass
Group Art Unit	3724
Examiner Name	
Attorney Docket No.	SDT 308

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee	Date of Publication	Relevant Pages, Columns, Lines
		Number	Kind Code			
		3,858,095		Friemann et al.	12/31/1974	
		3,947,734		Fyler	3/30/1976	
		3,953,770		Hayashi	4/27/1976	
		3,967,161		Lichtblau	6/29/1976	
		4,007,679		Edwards	2/15/1977	
		4,026,177		Lokey	5/31/1977	
		4,047,156		Atkins	9/6/1977	
		4,060,160		Lieber	11/29/1977	
		4,070,940		McDaniel et al.	1/31/1978	
		4,075,961		Harris	2/28/1978	
		4,085,303		McIntyre et al.	4/18/1978	
		4,117,752		Yoneda	10/3/1978	
		4,152,833		Phillips	5/8/1979	
		4,195,722		Anderson et al.	4/1/1980	
		4,249,117		Leukhardt et al.	2/3/1981	
		4,249,442		Fittery	2/10/1981	
		4,267,914		Saar	5/19/1981	
		4,270,427		Colberg et al.	6/2/1981	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication	Relevant Pages, Columns, Lines	T ⁶
		Office	Number	Kind Code				
		DE	2800403		Fritz	7/19/1979		✓
		FR	2556643		Stempniakowski	6/21/1985		✓
		FR	2570017		Stempniakowski	3/14/1986		✓

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
Examiner Signature		Date Considered	

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

✓ Translation or partial translation included.



Substitute for form 1449A-B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 3 of 5

Complete if Known

Application No.	09/929,244
Filing Date	Aug. 13, 2001
First Named Inventor	Stephen F. Gass
Group Art Unit	3724
Examiner Name	
Attorney Docket No.	SDT 308

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee	Date of Publication	Relevant Pages, Columns, Lines
		Number	Kind Code			
		4,276,799		Muehling	7/7/1981	
		4,321,841		Felix	3/30/1982	
		4,372,202		Cameron	2/8/1983	
		4,391,358		Haeger	7/5/1983	
		4,470,046		Betsill	9/4/1984	
		4,510,489		Anderson, III et al.	4/9/1985	
		4,532,501		Hoffman	7/30/1985	
		4,532,844		Chang et al.	8/6/1985	
		4,573,556		Andreasson	3/4/1986	
		4,599,927		Eccardt et al.	7/15/1986	
		4,621,300		Summerer	11/4/1986	
		4,637,289		Ramsden	1/20/1987	
		4,722,021		Hornung et al.	1/26/1988	
		4,751,603		Kwan	6/14/1988	
		4,757,881		Jonsson et al.	7/19/1988	
		4,840,135		Yamauchi	6/20/1989	
		4,906,962		Duimstra	3/6/1990	
		4,965,909		McCullough et al.	10/30/1990	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication	Relevant Pages, Columns, Lines	T ⁶
		Office	Number	Kind Code				
		EP	146460		Croguennec	11/9/1988		✓
		DE	4235161A1		List	5/27/1993		✓

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
Examiner Signature		Date Considered	

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

✓ Translation or partial translation included.



Substitute for form 1449A-B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 4 of 5

Complete if Known

Application No.	09/929,244
Filing Date	Aug. 13, 2001
First Named Inventor	Stephen F. Gass
Group Art Unit	3724
Examiner Name	
Attorney Docket No.	SDT 308

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee	Date of Publication	Relevant Pages, Columns, Lines
		Number	Kind Code			
		5,025,175		Dubois, III	6/18/1991	
		5,046,426		Julien et al.	9/10/1991	
		5,052,255		Gaines	10/1/1991	
		5,081,406		Hughes et al.	1/14/1992	
		5,122,091		Townsend	6/16/1992	
		5,198,702		McCullough et al.	3/30/1993	
		5,201,684		DeBois, III	4/13/1993	
		5,212,621		Panter	5/18/1993	
		5,218,189		Hutchison	6/8/1993	
		5,272,946		McCullough et al.	12/28/1993	
		5,276,431		Piccoli et al.	1/4/1994	
		5,331,875		Mayfield	7/26/1994	
		5,377,554		Reulein et al.	1/3/1995	
		5,510,685		Grasselli	4/23/1996	
		5,534,836		Schenkel et al.	7/9/1996	
		5,606,889		Bielinski et al.	3/4/1997	
		5,667,152		Mooring	9/16/1997	
		5,671,633		Wagner	9/30/1997	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication	Relevant Pages, Columns, Lines	T ⁶
		Office	Number	Kind Code				

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
Examiner Signature		Date Considered	

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

✓ Translation or partial translation included.

RECEIVED
DEC 21 2001
TECHNICAL ROOM

Substitute for form 1449A-B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 5 of 5

Complete if Known

Application No.	09/929,244
Filing Date	Aug. 13, 2001
First Named Inventor	Stephen F. Gass
Group Art Unit	3724
Examiner Name	
Attorney Docket No.	SDT 308

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
Examiner Signature			Date Considered

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

✓ Translation or partial translation included.